



#9

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of Dan A. Steinberg

Application No. 09/862,593

Attorney Docket No. Shipley 03-16

Filed: May 23, 2001

For: METHOD FOR MAKING INTEGRATED  
OPTICAL WAVEGUIDES AND  
MICROMACHINED FEATURES

Examiner: Kripa Sagar

Group Art Unit: 1756

RECEIVED  
JUN 02 2003  
TC 1700

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)**  
I hereby certify that this Correspondence is being deposited on the date identified below with the United States Postal Service as first-class mail in an envelope properly addressed to COMMISSIONER OF PATENTS AND TRADEMARKS, Washington, D.C. 20231.

May 27, 2003  
Date of Certificate

Cristin Donahue  
Cristin Donahue

Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97**

In compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, Applicant is submitting herewith a Form PTO-1449 and a copy of the references listed thereon. This Information Disclosure Statement is being filed more than three months after the filing date of this application, and after receipt of the first Official Action on the merits, but before receipt of a Final Official Action or an Notice of Allowance. The fee required under 37 C.F.R. 1.97(c) was paid previously in connection with an IDS dated May 22, 2003. Accordingly, no additional fee is due. In the event that a fee is due, the Commissioner is authorized to charge any underpayment or credit any overpayment to Deposit account no. 04-1406.

Applicant respectfully requests full and proper consideration of the listed information during examination of the application, and that the listed information be printed on any patent that issues therefrom.

Respectfully submitted,

DANN, DORFMAN, HERRELL & SKILLMAN  
A Professional Corporation  
Attorneys for Applicant(s)

By

  
Niels Haun  
PTO Registration No. 48,488

Telephone: (215) 563-4100

Facsimile: (215) 563-4044

Enclosures - Form PTO-1449

Copies of references listed on PTO - 1449



<b>INFORMATION DISCLOSURE STATEMENT</b>	<i>Complete if known</i>	
	Application Number: 09/862,593	
	Filing Date: May 23, 2001	
	First Named Inventor: Dan A. Steinberg	
	Group Art Unit: 1756	
Examiner Name: Kripa Sagar		
SHEET 1 OF 1	Attorney Docket Number: Shipley 03-16	

RECEIVED  
 JUN 02 2003  
 TC 1700

#### UNITED STATES PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	PATENT NUMBER	ISSUE DATE MM-DD-YYYY	FIRST NAMED INVENTOR

#### FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	DOCUMENT NUMBER	COUNTRY OR REGION	DATE OF PUBLICATION MM-DD-YYYY	FIRST NAMED INVENTOR OR APPLICANT
		0453753	EPO	10/30/1991	Hakey, Mark C.

#### OTHER PRIOR ART - NON-PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	Include name of the author (in Capital Letters), title of the article (when appropriate), title of the item(book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published

EXAMINER'S SIGNATURE		DATE CONSIDERED
----------------------	--	--------------------

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP §609. Draw a line through citation if citation not in conformance and reference not considered. Include a copy of this form with next communication to applicant.